

Section 1. Representations and undertakings in relation to Anti Bribery

The undersigned, as <input type="checkbox"/> Applicant <input type="checkbox"/> Exporter to its knowledge, it represents, to all legal effects, that:	
1. judgment or administrative, interdictory and/or preventive measure has been issued or taken, in the last ten years, against it or against any agent and/or representative acting on its behalf in relation to the transaction, for a violation of laws in relation to (i) anti-bribery pursuant to the OECD (Organization for Economic Cooperation and Development) Convention on Combating Bribery of Foreign Public Officials in International Business Transactions (the “ OECD Convention ”), (ii) domestic anti-bribery, (iii) anti-bribery in the private sector and/or (iv) Legislative Decree 231/2001.	<div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> YES <input type="checkbox"/> NO </div> <p><i>If yes, specify:</i></p> <ol style="list-style-type: none"> 1. <i>details of the sanctions imposed;</i> 2. <i>date of the judgement/measure;</i> 3. <i>parties involved.</i> <hr style="border: 0; border-top: 1px solid black; margin-top: 10px;"/> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/>
2. legal proceedings and/or formal investigations by public prosecutors are currently pending against it or against one or more agents and/or representatives acting on its behalf in relation to the transaction for a violation of laws against bribery pursuant to the OECD Convention, domestic anti-bribery laws, anti-bribery in the private sector laws and/or pursuant Legislative Decree 231/2001.	<div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> YES <input type="checkbox"/> NO </div> <p><i>If yes, specify the details of the pending legal proceedings. The party undertakes to keep SACE informed of any developments and/or outcomes of such proceedings</i></p> <hr style="border: 0; border-top: 1px solid black; margin-top: 10px;"/> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/>
3. it is or any agent and/or representative acting on its behalf in relation to the transaction is included on the publicly available debarment list(s) of the World Bank or other Multilateral Financial Institutions. ¹	<div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> YES <input type="checkbox"/> NO </div> <p><i>If yes, specify the name of the person included and its relevant role, together with details of the judgement/measure and/or proceedings.</i></p> <hr style="border: 0; border-top: 1px solid black; margin-top: 10px;"/> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/> <hr style="border: 0; border-top: 1px solid black; margin-top: 5px;"/>
4. in the framework of its organizational, management and control system, it has adopted its own code of ethics and organizational model pursuant to Italian Legislative Decree 231/2001, to which is bound or, alternately, that it is fully aware of, and agrees with the fundamental principles of the code of ethics adopted by SACE in the framework of its organizational, management and control model pursuant to Italian Legislative Decree 231/2001 and undertakes to fully comply with its terms and that it is aware of the laws and regulations which govern SACE and its business operations	<div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> YES <input type="checkbox"/> NO </div>
5. it has applied internal corrective and preventative measures and appropriate management periodical control systems that seek to prevent and deter bribery in international business transactions and that are supported by adequate training for staff, reporting mechanisms and internal audit procedures.	<div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> YES <input type="checkbox"/> NO </div>

¹ Multilateral Financial Institutions are the African Development Bank, the Asian Development Bank, the European Bank for Reconstruction and Development, the Inter-American Development Bank and the World Bank Group..

Section 2. Representations and undertakings in relation to Export Control²

The undersigned, as <input type="checkbox"/> Applicant <input type="checkbox"/> Exporter to its knowledge, it represents, to all legal effects, that:	
Information on the parties involved	
6. it is a Sanctioned Person ³ or it is owned or controlled by, Sanctioned Persons.	<input type="checkbox"/> YES <input type="checkbox"/> NO <i>If yes, specify the name of the Sanctioned Person and its relevant role, the restrictive measure to which he/she is subject, and the legal basis for the designation (e.g., inclusion in EU Sanctions Lists, SDN List, SSI List, Entity List).</i> <hr/> <hr/> <hr/>
Information on the transaction	
7. the country of final destination of the supply/investment is included among those sanctioned by the EU or the USA	<input type="checkbox"/> YES <input type="checkbox"/> NO <i>If yes, specify the Country</i> <hr/>
8. a third-party End User (other than the foreign buyer) is involved in the transaction	<input type="checkbox"/> YES <input type="checkbox"/> NO <i>If yes, confirm that such party is not a Sanctioned Person and/or it is not owned or controlled by, Sanctioned Persons</i> <hr/> <hr/> <hr/>
9. a sovereign entity buyer (i.e. an entity linked or controlled by the State) is involved in the transaction ⁴	<input type="checkbox"/> YES <input type="checkbox"/> NO <i>If yes, specify the award procedure carried out</i> <input type="checkbox"/> international tender <input type="checkbox"/> short-list tender <input type="checkbox"/> private negotiations <input type="checkbox"/> other: _____ <i>In case the commercial contract was not awarded through a tender procedure (e.g. private negotiation) were the methods of award compliant with the relevant public procurement legislation?</i> <input type="checkbox"/> YES <input type="checkbox"/> NO
10. disbursement abroad in relation to the execution of the Commercial Contract are envisaged: ⁵ If yes, specify the amount disbursed abroad Specify if such amount exceeds 5% of the Contract value	<input type="checkbox"/> YES <input type="checkbox"/> NO <i>[specify the amount disbursed abroad]</i> <input type="checkbox"/> YES <input type="checkbox"/> NO

² With the exception of the representation referred to in point 8), the representations contained in Section 2 fall under the sole responsibility of the Exporter.

³ Sanctioned Persons means persons which are subject to an economic and commercial sanction or any other restrictive measure (including trade, sectoral or asset freeze restrictions) issued and enforced by the United Nations, the European Union, the United Kingdom, the United States of America, or any other applicable jurisdiction.

⁴ To be retained only if represented by the Exporter

⁵ To be retained only if represented by the Exporter

If yes, please confirm that the goods are subject to control (EAR o ITAR ¹⁰)	1. <i>the specific regulations applicable to the supply/contract;;</i> 2. <i>the type of authorization and/or notification [and/or other measure] and to the relevant competent authority pursuant to the applicable regulations (attach any relevant supporting documentation).</i> NOTE <hr/> <hr/>
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Section 3. Representations and undertakings in relation to the Environment

Environmental Screening	
To its knowledge, the Exporter represents, to all legal effects, that:	
Description of the supply	<hr/> <hr/> <i>Exporter:</i> <hr/> <i>End-User:</i> <hr/> <i>Sector:</i> <hr/>
Description of the Project where supplies are destined (Project means the production facility or infrastructure where the supply will be used)	<hr/> <hr/> <hr/>
Details of the Project where supplies are destined	<i>Country</i> <hr/> <i>Location</i> <hr/> <input type="checkbox"/> <i>Project exists and is operating</i> <input type="checkbox"/> <i>New Project or Project under construction</i> <i>Production capacity is</i> <hr/> <i>Variation of production capacity is (in case of existing project)</i> <hr/>
Description of the Project site In addition, please specify, if: - It is an industrial area - It is in or near (5 km radius) sensible areas such as: (i) National Parks and other protected areas according to national laws or international regulations; (ii) wetlands, forest with high biodiversity value; (iii) sites with high archaeological or cultural heritage value.	<hr/> <hr/> <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> YES <input type="checkbox"/> NO
The Project involves: - Forced land acquisitions and/or expropriation - Resettlement of settlements, local communities or a significant number of affected people - Risk of forced labour - Employment of a high number of migrant workers (risk of the so called <i>modern slavery</i>) - Risk of child labour	<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> YES <input type="checkbox"/> NO

¹⁰ I.e. Export Administration Regulations and International Traffic in Arms Regulations

<ul style="list-style-type: none"> - Conflict areas (e.g. of ethnic, religious or political nature)¹¹ - Indigenous peoples or other vulnerable minority groups 	<input type="checkbox"/> YES <input type="checkbox"/> NO
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The Applicant, by signing this annex, and with regard to Environmental Category A projects pursuant to the OECD Common Approaches on the matter, authorises SACE to make available to the general public the environmental impact assessment (EIA) relating to the Project for which cover is required, issued by the Applicant to SACE

All information in this annex will be construed as provided in accordance with and pursuant to articles 1892 and 1893 of the Italian Civil Code.

Date and place	Signature
_____	_____

¹¹ For example, such areas may be identified by:

- (i) the presence of an international or non-international armed conflict;
- (ii) the transition from an armed conflict to peace;
- (iii) widespread or serious human rights violations;
- (iv) political and social instability or repression; or
- (v) institutional weakness or collapse of state infrastructure.